# **Concepts and Terminology**

Every discipline has its own idiom replete with vocabulary, grammatical structures, and other linguistic conventions. This inevitably leads to confusion for those conducting interdisciplinary research or speaking across disciplinary boundaries. A political scientist and an anthropologist may, for example, mean very different things when they say "participation" or "order." Moreover, these fields may attach very different normative valences to particular terms. You must prevent such differences from prematurely sinking your proposal. Understanding how your language is likely to be interpreted by reviewers and being very clear about the way you use terms and concepts will help your proposal be understood and respected across disciplines. You may also want to consider the following more specific points:

Whenever possible, avoid neologisms. The invention of new words and concepts is a necessary part of keeping social science language dynamic and current. The Academy, moreover, provides strong institutional incentives for developing new and catchy phrases. While there is no rule for when such genesis is justified, it must always be done with a great deal of care. Unless you are quite certain that what you are describing—or what you think you are describing—is a genuinely new phenomenon, creating new words may come across simply as "old wine in new bottles." Moreover, defining new terms may draw attention (and space) away from other concerns.

**Be conceptually consistent.** Social science terminology is often ill defined and sloppily used. In a proposal, such inconsistency may be grounds for dismissal. If you are using a term or idea that is open to multiple interpretations, be sure that you define the term and stick to that definition. This may sound obvious, but it is not uncommon for serious slippage to occur. If you are writing about "civil society" but mean "nongovernmental organizations" (NGOs), why not just use that term. If in some places you mean NGOs but also mean citizen choral groups, bowling clubs, etc., you will need to be clear about that from the get-go. The same can be said of a myriad of other terms (e.g., "sustainable development," "peasant," and "democracy.") Being inconsistent may not only baffle your readers, but may leave them with the impression that you don't really not what you are talking about. Perhaps most importantly, conceptual clarity and consistency prove invaluable in crafting your research design.

**Carefully consider and justify typologies and categories.** The creation and use of typologies—coordinated sets of terms that provide labels for different components of the analytic domain of interest to the scholar—is often at the heart of social scientific analysis. The way in which you categorize the social phenomena you seek to describe must, however, be linked to both your theoretical foundations and the empirical reality. Be careful, however, for even those typological schemes that appear most unproblematic often carry with them notable levels of bias, both normative and analytical (e.g., democracy vs. authoritarianism). Carefully considering the typological categories you employ can have important analytical payoffs and will ensure that you are searching for meaningful distinctions.

Below is a brief bibliography on conceptualization in general, followed by links to bibliographies on specific concepts. These should not be read as the final word on any of the topics, but rather as examples of the complexities and challenges associated with conceptual clarity. They may also provide important bibliographic and historical background for your own efforts to reach a concise and researchable definition.

## Works on Conceptualization

Concepts in Social and Political Philosophy. 1973. Richard E. Flathman (ed.). New York: Macmillan.

- Encyclopedia of Democracy. 1995. Seymour Martin Lipset (ed.). Washington: Congressional Quarterly.
- Handbook of Political Science. 1975. Fred I. Greenstein and Nelson W. Polsby (eds.). Reading, MA: Addison-Wesley (Eight Volumes!).

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- *International Encyclopedia of the Social Sciences.* 1968. David L. Sills (ed.). New York: Macmillan and the Free Press.
- *The New Palgrave: A Dictionary of Economics.* 1987. John Eatwell, Murray Milgate, and Peter Newman (eds.). London: Macmillan.
- Oxford Companion to Politics of the World. Joel Krieger (ed.). New York: Oxford University Press.
- The Penguin Dictionary of Human Geography. 1987. Brian Goodall. (ed.). New York: Penguin Books.
- Social Science Concepts: A Systematic Analysis. Giovanni Sartori (ed.). 1984. Beverly Hills: Sage Publications.
- "What Makes a Concept Good? A Criterial Framework for Understanding Concept Formation in the Social Sciences.' 1999, John Gerring. *Polity*. Vol. 31(3):357-393.

## Selected Bibliography of Specific Concepts

## Agency

Sen, Amartya. 1985. "Freedom and Agency." The Journal of Philosophy. Vol. LXXXII (4): 203-221.

#### Anarchism

Pennock, J. Roland and John W. Chapman (eds.) 1978. *Anarchism*. New York: New York University Press (Nomos 19).

#### Atrocity

Jacob, Phillip E. 1955. "A Multi-Dimensional Classification of Atrocity Stories." In Paul F. Lazarsfeld and Morris Rosenberg (eds.) *The Language of Social Research: A Reader in the Methodology of Social Research.* Glencoe: The Free Press: 54-57.

#### **Authoritarian Regime**

- Linz, Juan J. 1964. "An Authoritarian Regime: Spain." In Erik Allardt and Yrjo Littunen (eds.) Cleavages, Ideologies, and Party Systems: Contributions to Comparative Political Sociology. Transactions of the Westermarck Society, 10: 291-342.
- Linz, Juan J. 1975. "Totalitarian and Authoritarian Regimes." In Fred I. Greenstein and Nelson W. Polsby (eds.) *Handbook of Political Science, Vol. 3 Macropolitical Theory*. Reading: Addison-Wesley.

#### Authority

- Friedrich, Carl J. 1958. Authority. Cambridge: Harvard University Press (Nomos 1).
- Lincoln, Bruce Authority: Construction and Corrosion. Chicago: University of Chicago Press.
- Pennock, J. Roland and John W. Chapman (eds.). *Authority Revisited*. New York: New York University Press (Nomos 29).

## **Balance of Power**

Haas, Ernst. 1953. "The Balance of Power: Prescription, Concept, or Propaganda?" *World Politics*. Vol. 5(4): 442-447.

## Bureaucracy

Beetham, David. 1987. Bureaucracy. Minneapolis: University of Minnesota Press.

## Capacity

Jackman, Robert W. 1993. *Power Without Force: The Political Capacity of Nation-States*. Ann Arbor: University of Michigan Press.

### Citizenship

Barbalet, J.M. 1988. *Citizenship: Rights, Struggles, and Class Inequality*. Minneapolis: University of Minnesota Press.

Biener, Ronald (ed.). 1995. Theorizing Citizenship. Albany: State University Press.

- Halisis, C.R.D., Paul J. Kaiser, and Stephen N. Ndegwa. 1998. "Guest Editors' Introduction: The Multiple Meanings of Citizenship -- Rights, Idenity, and Social Justice in Africa." *Africa Today* Vol. 45: 337-350.
- Jackman, Robert W. 1993. *Power Without Force: The Political Capacity of Nation-States*. Ann Arbor: University of Michigan Press.

Marshall, T.H. 1963. Citizenship and Social Class. London: Heinemann.

## **Civil Society**

Callaghy, Thomas. 1994. "Civil Society, Democracy, and Economic Change in Africa: A Dissenting Opinion About Resurgent Societies" in John W. Harbeson, Donal Rothchild, Naomi Chazan (eds.), *Civil Society and the State in Africa*. Boulder: Lynne Rienner: 231-254.

#### Change, Organizational

Haas, Ernst B. 1990. *When Knowledge is Power: Three Models of Change in International Organizations*. Berkeley: University of California Press.

#### Class

Scase, Richard. 1992. Class. Minneapolis: University of Minnesota Press.

#### Coercion

Pennock, J. Roland and John W. Chapman (eds.). 1972. Coercion. New York: Aldine-Atherton Press.

#### Commons, The

Hardin, Garrett. 1968. "The Tragedy of the Commons." Science. Vol. 162 (December):1243-1248.

# Community

Friedrich, Carl J. 1959. Community. New York: The Liberal Arts Press (Nomos 2).

## Compromise

Pennock, J. Roland and John W. Chapman (eds.). 1979. *Compromise in Ethics, Law, and Politics*. New York: New York University Press (Nomos 21).

### Consensus

Graham, George J., Jr. 1984. "Consensus" in Giovanni Sartori (ed.) *Social Science Concepts: A Systemic Analysis.* Beverly Hills: Sage Publications.

## Corporatism

Williamson, Peter. 1985. Varieties of Corporatism: A Conceptual Discussion. New York: Cambridge University Press.

### Crises

O'Donnel, Guillermo. 1988. "On Crises". in *Bureaucratic Authoritarianism: Argentina, 1966-1973, in Comparative Perspective*. Berkeley: University of California Press: 15-31.

Grindle, Merilee S. 1996. *Challenging the State: Crisis and Innovation in Latin America and Africa*. Cambridge: Cambridge University Press.

## **Critical Junctures**

Collier, Ruth Berins and David Collier. 1991. Shaping the Political Arena: Critical Junctures, the Labor Movement, and Regime Dynamics in Latin America. Princeton: Princeton University Press.

# Culture

Jepperson, Ronald L., and Ann Swidler. 1994. "What Properties of Culture Should We Measure?" *Poetics*. Vol. 22: 359-371.

## **Culture**, **Political**

Patrick, Glenda M. 1984. "Political Culture" in Giovanni Sartori (ed.) Social Science Concepts: A Systemic Analysis. Beverly Hills: Sage Publications.

## Decentralization

Leonard, David K. 1982. "Modes of Decentralization" in David K. Leonard and Dale Rogers Marshall (eds.). Institutions of Rural Development for the Poor: Decentralization and Organizational Linkages. University of California, Berkeley, Institute of International Studies, Research Series No. 49.

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Lively, Jack. 1975 Democracy. Oxford: Oxford University Press.

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Crush, Jonathon (ed.). 1995. Power of Development. London: Routledge, 1995.

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Zannoni, Paolo. 1978. "The Concept of Elite." European Journal of Political Research. Vol. 6: 1-30.

## Entitlement

Sen, Amartya. 1987. Hunger and Entitlements. Helsinki: United Nations University.

#### Equality

Flathman, Richard. E. (ed.). 1973. "Equality." Part 5 in Concepts in Social and Political Philosophy. New York: Macmillan: 324-383.

#### Equilibrium

Russett, Cynthia 1966. The Concept of Equilibrium in American Social Thought. New Haven: Yale University Press.

## Ethics

Bauman, Zygmunt. 1993. Postmodern Ethics. Oxford: Basil Blackwell.

Pennock, J. Roland and John W. Chapman (eds.). 1982. *Ethics, Economics, and the Law*. New York: New York University Press. (Nomos 24).

## Ethnicity

- Jackson, Robert H. 1984. "Ethnicity" in Giovanni Sartori (ed.), *Social Science Concepts: A Systematic Analysis.* Beverly Hills: Sage Publications.
- Shapiro, Ian and Will Kymlicka. 1997. *Ethnicity and Group Rights*. New York: New York University Press (Nomos 39).

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#### Exchange

Davis, John. 1992. Exchange. Minneapolis: University of Minnesota Press.

## Fascism

Paxton, Robert O. 1997. French Peasant Fascism: Henry Dorgere's Greenshirts and The Crises of French Agriculture, 1929-1939. New York : Oxford University Press.

### Feminism

Schwartz, Alan. 1992. "Feminism: A Case Study of a Contested Concept" in Alan Schwartz *Contested Concepts in Cognitive Science*. Berkeley: University of California, Program in Cognitive Science.

### Freedom

Bauman, Zygmunt. 1988. Freedom. Minneapolis: University of Minnesota Press.

Sen, Amartya. 1985. "Freedom and Agency." The Journal of Philosophy. Vol. LXXXII (4): 203-221

### Game Theory: Prisoner's Dilemma, Battle of the Sexes, and Chicken

Morrow, James D. 1994. *Game Theory for Political Scientists*. Princeton: Princeton University Press: 77-78, 91-93.

## Human Capital

Becker, Gary. 1993. *Human Capital: A Theoretical and Empirical Analysis With Special Reference to Education*. Chicago: The University of Chicago Press (Third Edition).

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Pennock, J. Roland and John W. Chapman. 1977. *Human Nature in Politics*. New York: New York University Press (Nomos 17).

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- An-Na'im, Abdullahi (ed.). 1993. *Human Rights in Cross-Cultural Perspectives: A Quest for Consensus.* Philadelphia: University of Pennsylvania Press.
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McLellan, David. 1986. Ideology. Minneapolis: University of Minnesota Press.

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- North, Douglass C. 1990. *Institutions, Institutional Change and Economic Performance*. New York: Cambridge University Press.

#### Integration

Teune, Henry. 1984. "Integration" in Giovanni Sartori (ed.) *Social Science Concepts: A Systemic Analysis.* Beverly Hills: Sage Publications.

#### Justice

Friedrich, Karl and John W. Chapman (eds.). 1963. Justice New York: Atherton Press (Nomos 6).

### Justice, Compensatory

Chapman, John W. (ed.). 1991 Compensatory Justice. New York: Atherton Press (Nomos 6).

### Justice, Criminal

Pennock, J. Roland and John W. Chapman (eds.). 1985 *Criminal Justice*. New York: New York University Press (Nomos 27).

## **Justice and Gender**

Sen, Amartya. 1995. "Gender Inequality and Theories of Justice." in Martha C Nussbaum and Jonathon Glover (eds.), *Women, Culture, and Development*. Oxford: Clarendon Press: 259-273.

## Justification

Pennock, J. Roland and John W. Chapman (eds.). 1986 *Justification*. New York: New York University Press (Nomos 28).

## Just War

Elshtain, Jean Bethke (ed.). 1992. Just War Theory. New York: New York University Press.

## Kinship

Harriss, C.C. 1990. Kinship. Minneapolis: University of Minnesota Press.

#### Law, Limits of

Pennock, J. Roland and John W. Chapman (eds.). The Limits of Law. New York: Lieber-Atherton (Nomos 15).

## Law, Rule of

Shapiro, Ian (ed.). 1992. The Rule of Law. New York: New York University Press (Nomos 36).

# Leninism

Jowitt, Kenneth. 1992. "The Leninist Phenomenon," in *New World Disorder: The Leninist Extinction*. Berkeley: University of California Press.

## Liberalism

Gray, John. 1986. Liberalism. Minneapolis: University of Minnesota Press.

## Liberty

Friedrich, Carl J. (ed.). 1962. Liberty. New York: Atherton Press (Nomos 4).

## Majorities

Chapman, John W. and Alan Wertheimer (eds.). 1990. *Majorities and Minorities*. New York: New York University Press (Nomos 31).

### Markets

Pennock, J. Roland and John W. Chapman (eds.). 1989. *Markets and Justice*. New York: New York University Press (Nomos 32).

## Marxism

Pennock, J. Roland and John W. Chapman (eds.). 1983. *Marxism*. New York: New York University Press (Nomos 26).

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Pennock, J. Roland and John W. Chapman (eds.). 1988. *Religion, Morality, and the Law*. New York: New York University Press (Nomos 30).

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Haas, Ernst B. 1986. "Nationalism: An Instrumental Social Construction." *Millennium: Journal of International Studies*. Vol. 22(3): 505-545.

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Payne, James L. 1968. "The Oligarchy Muddle" World Politics. Vol. 20 (3): 439-453.

## **Order**, Political

Shapiro, Ian and Russell Hardin (eds.). 1996. *Political Order*. New York: New York University Press (Nomos 38).

## Totalitarianism

Friedrich, Carl J. (ed.). 1964 [1954]. Totalitarianism. New York: The Universal Library.

- Linz, Juan J. 1975. "Totalitarian and Authoritarian Regimes", Chapter 3 in Fred I. Greenstein and Nelson W. Polsby (eds.) *Handbook of Political Science, Vol 3: Macropolitical Theory*. Reading: Addison-Wesley Publishing Company.
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Callinicos, Alex. 1990. Trotskyism. Minneapolis: University of Minnesota Press.

# Utility

Pitkin, Hanna Fenichel. 1990. "Slippery Bentham: Some Neglected Cracks in the Foundation of Utilitarianism." *Political Theory*. Vol. 18(1): 104-131.

## Utopianism

Kumar, Krishan. 1991. Utopianism. Minneapolis: University of Minnesota Press.

# Virtue

Chapman, John W. and William A. Galston (eds.). 1992. *Virtue*.. New York: New York University Press (Nomos 34).

## **Voluntary Association**

Pennock, J. Roland and John W. Chapman. 1969. *Voluntary Associations*. New York: Atherton Press (Nomos 11).

## Welfare

Barry, Norman. 1990. Welfare. Minneapolis: University of Minnesota Press.